IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):   David Dice et al.

Title: QUICKLY REACQUIRABLE LOCKS

Application No.: 10/669,948   Filed: September 24, 2003

Examiner: William D. Thomson   Group Art Unit: 2194

Atty. Docket No.: 004-8728   Confirmation No.: 8314

January 27, 2006

Mail Stop Amendment
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
37 C.F.R. § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings to the Examiner's attention in the above-identified application the patents, publications, applications or other information identified in the attached:

☐   Form(s) PTO/SB/08A (N/A page(s), with copy of no reference(s)).
☒   Form(s) PTO/SB/08B (1 page(s), with copy of 5 reference(s)).
☐   Listing of Pending Applications (N/A page(s), with copy of no application(s)).
☐   Other: N/A

Citation of such information shall not be construed as (i) an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention, (ii) a representation that a search has been made, other than as described herein, or (iii) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

<p align="center">CERTAIN COPIES NOT INCLUDED</p>

Pursuant to operative regulations, certain copies need not be provided and corresponding information is identified in this Information Disclosure Statement by citation only. In particular,

☒ In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. Patents and U.S. Patent Application Publications are not included.

☐ Certain information was submitted to, or cited by, the Office in Application No(s). <u>N/A</u>, filed <u>N/A</u>, to which the above-identified application claims priority under 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), copies of that information are not included.

☐ Pursuant to 1287 OG 163 (October 19, 2004), for those cited pending U.S. patent applications filed (or which entered the national stage under 35 U.S.C. § 371) on or after June 30, 2003 or which are otherwise stored in the USPTO's Image File Wrapper system, copies are not included.

<p align="center">FEE AUTHORIZATION</p>

☐ This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.

☒ The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

If however, this Information Disclosure Statement is filed after the period specified in § 1.97(b), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.

CERTIFICATE OF MAILING OR TRANSMISSION
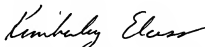
I hereby certify that, on the date shown below, this correspondence is being

☒ deposited with the US Postal Service with sufficient postage as first class mail and addressed as shown above.

☐ facsimile transmitted to the US Patent and Trademark Office.

Kimberley Elcess   27-Jan-06

Kimberley Elcess   Date

EXPRESS MAIL LABEL: _____

Respectfully submitted,

Kimberley Elcess

Kimberley Elcess, Reg. No. 36,128
Agent for Applicant(s)
(512) 338-6321 (direct)
(512) 338-6300 (main)
(512) 338-6301 (fax)

Application No.: 10/669,948

PTO/SB/08B (Substitute for form 1449/PTO)	Attorney Docket No.:	*004-8728*
	Application No.:	*10/669,948*
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor:	*David Dice*
	Filing Date:	*September 24, 2003*
	Group Art Unit:	*2194*
	Examiner Name:	*William D. Thomson*
Sheet 1 of 1	Date Submitted:	*January 27, 2006*

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
/CA/	1	ANDERSON, James H. et al., "Universal Constructions for Large Objects," *IEEE Transactions on Parallel and Distributed Systems*, vol. 10, no. 12, pp. 1317-1332, 1999.	
	2	DICE, David et al., "Mostly Lock-Free Malloc," *Proceedings of the 3rd International Symposium on Memory Management*, pp. 163-174, ACM Press, New York, NY, 2002.	
	3	DIJKSTRA, E., "Solution to a Problem in Concurrent Programming Control," Communications of the ACM, vol. 8, no. 9, p. 569, 1965	
	4	MELLOR-CRUMMEY, J., et al., "Algorithms for Scalable Synchronization on Shared-Memory Multiprocessors, *ACM Transactions on Computer Systems*, vol. 9, no. 1, pp. 21-65, February 1991.	
/CA/	5	MOIR, Mark, "Practical implementation of non-blocking synchronization primitives," *Proceedings of the Sixteenth Annual ACM Symposium on Principles of Distributed Computing*, pp. 219-228, ACM Press, New York, NY, 1997.	

Examiner Signature	/Charles Anya/	Date Considered	06/13/2009